Hong Kong Public Offering — White Form eIPO Service Provider Application Form 香港公開發售 — 白表eIPO服務供應商申請表格
Please use this application form if you are a White Form eIPO Service Provider and are applying for Hong Kong Offer Shares on behalf of underlying applicants.
如　閣下為白表eIPO服務供應商並代表相關申請人申請認購香港發售股份，請使用本申請表格。

Ganfeng Lithium Co., Ltd.
江西贛鋒鋰業股份有限公司

(A joint stock company incorporated in the People's Republic of China with limited liability)
(於中華人民共和國註冊成立的股份有限公司)

GLOBAL OFFERING
全球發售

Stock code : 1772
Maximum Offer Price : HK$26.50 per H Share plus brokerage of 1%, SFC transaction levy of 0.0027% and Hong Kong Stock Exchange trading fee of 0.005% (payable in full on application in Hong Kong dollars and subject to refund)

股份代號 : 1772
最高發售價 : 每股H股26.50港元，另加1%經紀佣金、0.0027%證監會交易徵費及0.005%香港聯交所交易費(須於申請時以港元繳足，多繳股款可予退還)

*Please read carefully the prospectus of Ganfeng Lithium Co., Ltd. (the "**Company**") dated September 27, 2018 (the "**Prospectus**") (in particular, the section on "How to Apply for Hong Kong Offer Shares" in the Prospectus) and the guide on the back of this Application Form before completing this Application Form. Terms defined in the Prospectus have the same meaning when used in this Application Form unless defined herein.*

*Hong Kong Exchanges and Clearing Limited, The Stock Exchange of Hong Kong Limited (the "**Stock Exchange**") and Hong Kong Securities Clearing Company Limited ("**HKSCC**") take no responsibility for the contents of this Application Form, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of this Application Form.*

*A copy of this Application Form, together with a copy of each of the **WHITE** and **YELLOW** Application Forms, the Prospectus and the other documents specified in the section headed "Documents Delivered to the Registrar of Companies and Available for inspection" in Appendix VIII to the Prospectus have been registered by the Registrar of Companies in Hong Kong as required by Section 342C of Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap. 32). The Securities and Futures Commission (the "**SFC**") and the Registrar of Companies in Hong Kong take no responsibility as to the contents of any of these documents.*

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GUIDE TO COMPLETING THIS APPLICATION FORM

References to boxes below are to the numbered boxes on this Application Form.

1 **Sign and date the application form in Box 1. Only a written signature will be accepted.**

The name and the representative capacity of the signatory should also be stated.

To apply for Hong Kong Offer Shares using this Application Form, you must be named in the list of **White Form eIPO** Service Provider who may provide **White Form eIPO** services in relation to the Hong Kong Public Offering, which was released by the SFC.

2 **Put in Box 2 (in figures) the total number of Hong Kong Offer Shares for which you wish to apply on behalf of the underlying applicants.**

Applicant details of the underlying applicants on whose behalf you are applying must be contained in one data file in read-only CD-ROM format submitted together with this Application Form.

3 **Complete your payment details in Box 3.**

You must state in this box the number of cheque(s) you are enclosing together with this Application Form; and you must state on the reverse of each of those cheque(s) (i) your **White Form eIPO** Service Provider ID and (ii) the file number of the data file containing application details of the underlying applicant(s).

The dollar amount(s) stated in this box must be equal to the amount payable for the total number of Hong Kong Offer Shares applied for in Box 2.

All cheque(s) and this Application Form, together with a sealed envelope containing the CD-ROM, if any, must be placed in the envelope bearing your company chop.

For payments by cheque, the cheque must:

- be in Hong Kong dollars;
- be drawn on a Hong Kong dollar bank account in Hong Kong;
- show your (or your nominee's) account name;
- be made payable to "**Horsford Nominees Limited — Ganfeng Lithium Public Offer**";
- be crossed "Account Payee Only";
- not be post-dated; and
- be signed by the authorized signatories of the **White Form eIPO** Service Provider.

Your application may be rejected if any of these requirements is not met or if the cheque is dishonoured on its first presentation.

It is your responsibility to ensure that details on the cheque(s) submitted correspond with the application details contained in the CD-ROM or data file submitted in respect of this application. The Company and the Joint Global Coordinators have full discretion to reject any applications in the case of discrepancies.

No receipt will be issued for sums paid on application.

4 **Insert your details in Box 4 (using BLOCK letters).**

You should write the name, ID and address of the **White Form eIPO** Service Provider in this box. You should also include the name and telephone number of the contact person at your place of business and where applicable, the Broker No. and Broker's Chop.

Personal Data

Personal Information Collection Statement

The main provisions of the Personal Data (Privacy) Ordinance (the "**Ordinance**") came into effect in Hong Kong on December 20, 1996. This Personal Information Collection Statement informs the applicant for and holder of the Shares of the policies and practices of the Company and its H Share Registrar in relation to personal data and the Ordinance.

1 **Reasons for the collection of your personal data**

From time to time it is necessary for applicants for securities or registered holders of securities to supply their latest correct personal data to the Company or its agents and/or its H Share Registrar when applying for securities or transferring securities into or out of their names or in procuring the services of the H Share Registrar.

Failure to supply the requested data may result in your application for securities being rejected or in delay or inability of the Company and/or the H Share Registrar to effect transfers or otherwise render their services. It may also prevent or delay registration or transfer of the Hong Kong Offer Shares which you have successfully applied for and/or the despatch of share certificate(s), and/or the despatch of e-Refund payment instructions, and/or the despatch of refund cheque(s) to which you are entitled.

It is important that holders of securities inform the Company and the H Share Registrar immediately of any inaccuracies in the personal data supplied.

2 **Purposes**

The personal data of the applicants and the holders of securities may be used, held and/or stored (by whatever means) for the following purposes:

- processing of your application and e-Refund payment instructions and refund cheque, where applicable, and verification of compliance with the terms and application procedures set out in this Application Form and the Prospectus and announcing results of allocation of the Hong Kong Offer Shares;
- enabling compliance with all applicable laws and regulations in Hong Kong and elsewhere;
- registering new issues or transfers into or out of the names of holders of securities including, where applicable, in the name of HKSCC Nominees;
- maintaining or updating the registers of holders of securities of the Company;
- conducting or assisting to conduct signature verifications, any other verification or exchange of information;
- establishing benefit entitlements of holders of securities of the Company, such as dividends, rights issues and bonus issues, etc;
- distributing communications from the Company and its subsidiaries;
- compiling statistical information and Shareholder profiles;
- making disclosures as required by laws, rules or regulations;
- disclosing identities of successful applicants by way of press announcement(s) or otherwise;
- disclosing relevant information to facilitate claims on entitlements; and
- any other incidental or associated purposes relating to the above and/or to enable the Company and the H Share Registrar to discharge their obligations to holders of securities and/or regulators and/or any other purpose to which the holders of securities may from time to time agree.

3 **Transfer of personal data**

Personal data held by the Company and the H Share Registrar relating to the holders of securities will be kept confidential but the Company and its H Share Registrar may, to the extent necessary for achieving the above purposes or any of them, make such enquiries as they consider necessary to confirm the accuracy of the personal data and in particular, they may disclose, obtain, transfer (whether within or outside Hong Kong) the personal data of the holders of securities to, from or with any and all of the following persons and entities:

- the Company or its appointed agents such as financial advisors, receiving banks and overseas principal registrar;
- where applicants for securities request deposit into CCASS, HKSCC and HKSCC Nominees, who will use the personal data for the purposes of operating CCASS;
- any agents, contractors or third-party service providers who offer administrative, telecommunications, computer, payment or other services to the Company and/or the H Share Registrar in connection with the operation of their respective businesses;
- the Stock Exchange, the SFC and any other statutory, regulatory or governmental bodies; and
- any other persons or institutions with which the holders of securities have or propose to have dealings, such as their bankers, solicitors, accountants or stockbrokers, etc.

4 **Access and correction of personal data**

The Ordinance provides the holders of securities with rights to ascertain whether the Company or the H Share Registrar hold their personal data, to obtain a copy of that data, and to correct any data that is inaccurate. In accordance with the Ordinance, the Company and the H Share Registrar have the right to charge a reasonable fee for the processing of any data access request. All requests for access to data or correction of data or for information regarding policies and practices and the kinds of data held should be addressed to the Company at its registered office disclosed in the "Corporate Information" section in the Prospectus or as notified from time to time in accordance with applicable law, for the attention of the company secretary or (as the case may be) the H Share Registrar for the attention of the Privacy Compliance Officer for the purposes of the Ordinance.

By signing this form, you agree to all of the above.

填寫本申請表格的指引

下列號碼乃本申請表格內各欄的編號。

1 **在申請表格欄1簽署及填上日期。只接受親筆簽名。**

亦須註明簽署人的姓名／名稱及代表身份。

使用本申請表格申請香港發售股份，　閣下必須為名列於證券及期貨事務監察委員會公佈的**白表eIPO**服務供應商名單內可以就香港公開發售提供**白表eIPO**服務的人士。

2 **在欄2填上　閣下欲代表相關申請人申請的香港發售股份總數(以數字填寫)。**

閣下代表相關申請人作出申請的申請人資料必須載於連同本申請表格遞交的唯讀光碟格式的一個資料檔案。

3 **在欄3填上　閣下付款的詳細資料。**

閣下必須在本欄註明　閣下夾附本申請表格的支票的編號；及　閣下必須在每張支票的背面註明(i)　閣下的**白表eIPO**服務供應商身份識別編碼及(ii)載有相關申請人的申請詳細資料的資料檔案的檔案編號。

本欄所註明的金額必須與欄2所申請的香港發售股份總數應付的金額相同。

所有支票及本申請表格，連同載有該唯讀光碟的密封信封(如有)必須放進印有　閣下公司印章的信封內。

如以支票繳付股款，該支票必須：

- 為港元支票；
- 由　閣下在香港的港元銀行賬戶開出；
- 顯示　閣下(或　閣下代名人)的賬戶名稱；
- 註明抬頭人為「**浩豐代理人有限公司 — 江西贛鋒鋰業公開發售**」；
- 劃線註明「只准入抬頭人賬戶」；
- 不得為期票；及
- 由**白表eIPO**服務供應商的授權簽署人簽署。

如支票未能符合上述所有規定或如支票於首次過戶時不獲兌現，　閣下的申請將不獲接納。

閣下須負責確保所遞交支票的詳細資料，與就本申請遞交的唯讀光碟或資料檔案所載的申請詳細資料相同。如出現差異，本公司及聯席全球協調人有絕對酌情權拒絕接受任何申請。

申請所繳付的金額將不會獲發收據。

4 **在欄4填上　閣下的詳細資料(用正楷)。**

閣下必須在本欄填上　閣下的姓名／名稱、**白表eIPO**服務供應商身份識別編碼及地址。　閣下亦必須填寫　閣下辦公地點的聯絡人士的姓名及電話號碼以及(如適用)經紀號碼並蓋上經紀印章。

個人資料

個人資料收集聲明

《個人資料(私隱)條例》(「**條例**」)中的主要條文於1996年12月20日在香港生效。此項個人資料收集聲明是向股份申請人及持有人說明本公司及其H股證券登記處有關個人資料及條例方面的政策及慣例。

1 **收集　閣下個人資料的原因**

證券申請人或證券登記持有人申請證券或將證券轉往其名下，或將名下證券轉讓予他人，或要求H股證券登記處提供服務時，須不時向本公司或其代理及／或其H股證券登記處提供其最新的準確個人資料。

未能提供所要求的資料可能導致　閣下的證券申請被拒絕或延遲，或本公司及／或H股證券登記處無法落實證券轉讓或提供服務。此舉亦可能妨礙或延遲登記或轉讓　閣下獲接納申請的香港發售股份及／或寄發股票及／或發送電子退款指示及／或寄發　閣下應得的退款支票。

證券持有人所提供的個人資料如有任何錯誤，須立即通知本公司及H股證券登記處。

2 **目的**

證券申請人及持有人的個人資料可作以下目的使用、持有及／或保存(不論以任何方式)：

- 處理　閣下的申請及電子退款指示及退款支票(如適用)及核實是否符合本申請表格及招股章程所載條款及申請手續及公佈香港發售股份的分配結果；
- 遵守香港及其他地區的所有適用法律及法規；
- 以證券持有人(包括以香港結算代理人(如適用))的名義登記新發行證券或轉讓或受讓證券；
- 存置或更新本公司證券持有人的名冊；
- 進行或協助進行簽名核對、任何其他核對或交換資料；
- 確定本公司證券持有人的受益權利，如股息、供股及紅股等；
- 分發本公司及其附屬公司的通訊；
- 編製統計資料及股東資料；
- 遵照法例、規則或規例的要求作出披露；
- 透過報章公告或其他方式披露獲接納申請人士的身份；
- 披露有關資料以便就權益提出申索；及
- 與上述者有關的任何其他附帶或相關目的及／或使本公司及H股證券登記處能履行對證券持有人及／或監管機構承擔的責任及／或證券持有人不時同意的任何其他目的。

3 **轉交個人資料**

本公司及H股證券登記處會對證券持有人的個人資料保密，但本公司及其H股證券登記處可以為達到上述任何目的而作出彼等視為必要之查詢以確定個人資料的準確性，尤其可能會向、從或聯同下列任何及所有人士及機構披露、獲取或轉交證券持有人的個人資料(無論在香港境內或境外)：

- 本公司或其委任的代理，如財務顧問、收款銀行及海外股份過戶登記總處；
- (如證券申請人要求將證券存於中央結算系統)香港結算及香港結算代理人；彼等將會就中央結算系統的運作使用有關個人資料；
- 向本公司及／或H股證券登記處提供與其各自業務運作有關的行政、電訊、電腦、付款或其他服務的任何代理、承辦商或第三方服務供應商；
- 聯交所、證監會及任何其他法定、監管或政府機關；及
- 與證券持有人有業務往來或擬有業務往來的任何其他人士或機構，如其銀行、律師、會計師或股票經紀等。

4 **查閱和更正個人資料**

條例賦予證券持有人權利以確定本公司或H股證券登記處是否持有其個人資料、索取有關資料副本及更正任何不準確之資料。根據條例規定，本公司及H股證券登記處有權就處理任何查閱資料的要求收取合理費用。所有關於查閱資料或更正資料或查詢資料政策及慣例及所持有資料類別的要求，應按照招股章程「公司資料」一節中披露的本公司註冊辦事處或根據適用法律不時通知的地址，向本公司的公司秘書或H股證券登記處(視乎情況而定)屬下就條例所指的個人資料私隱事務主任提出。

閣下簽署本申請表格，即表示同意上述所有規定。

樣本

DELIVERY OF THIS APPLICATION FORM

This completed Application Form, together with the appropriate cheque(s) with a sealed envelope containing the CD-ROM, must be submitted to the following receiving bank by 4:00 p.m. on Wednesday, October 3, 2018:

Standard Chartered Bank (Hong Kong) Limited
15/F Standard Chartered Tower
388 Kwun Tong Road
Kwun Tong, Hong Kong

遞交本申請表格

經填妥的本申請表格，連同適用支票及載有唯讀光碟的密封信封，必須於2018年10月3日(星期三)下午四時正前，送達下列收款銀行：

渣打銀行(香港)有限公司
香港觀塘
觀塘道388號
渣打中心15樓